RASHTRIYA ISPAT NIGAM LIMITED
VISAKHAPATNAM STEEL PLANT
( A Govt. of India Enterprise )

PROJECTS DIVISION, CONTRACTS DEPARTMENT
PROJECT OFFICE 'A' BLOCK, VISAKHAPATNAM – 530 031, INDIA
Phones: (0891) 2518277, FAX No: 0891-2518764
E-mail Id: hod_projcont@vizagsteel.com, projcont@vizagsteel.com

# NOTICE INVITING TENDER (NIT)

Corrigendum No:2 dt.27-11-14 to Open Tender Notice No.VSP-23 of 2014-15 Dt. 09-10-14

**The time schedule for the tender for following work is extended as indicated below:**

Sealed tenders in the prescribed form are invited from experienced contractors for the following work:

Name of the work : "Revamping and Upgradation of Vibrating Feeders in Flux Charging System at SMS-1"- (Specification No:VSP-SMS-1 UPGRADATION-000001-MMH-0001).

Last date & time of receipt of offers : **Upto 15.00 hrs (IST) on 10-12-2014**

Date & time of opening of offers after **After 15.00 hrs (IST) on 10-12-2014**

Contact Person: Shri K.Azhagiri, Sr.Manager (Project Contracts)

Mobile No:9989991271, FAX No: 0891-2518764

The Corrigendum No:1, detailed NIT & Tender documents are available in VSP's Website **www.vizagsteel.com** and Govt. website **www.tenders.gov.in**

DGM (Project Contracts) -I/c

**Note: The bidder should refer to RINL website regularly for any corrigendum.**

RINL VIGILANCE TOLL FREE NUMBER 1800 425 8878

RASHTRIYA ISPAT NIGAM LIMITED
VISAKHAPATNAM STEEL PLANT
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E-mail Id: hod_projcont@vizagsteel.com, projcont@vizagsteel.com

# NOTICE INVITING TENDER (NIT)

Corrigendum No:1 dt.12-11-14 to Open Tender Notice No.VSP-23 of 2014-15 Dt. 09-10-14

**The time schedule for the tender for following work is extended as indicated below:**

Sealed tenders in the prescribed form are invited from experienced contractors for the following work:

Name of the work : "Revamping and Upgradation of Vibrating Feeders in Flux Charging System at SMS-1"- (Specification No:VSP-SMS-1 UPGRADATION-000001-MMH-0001).

Last date & time of receipt of offers : **Upto 15.00 hrs (IST) on 26-11-2014**

Date & time of opening of offers after **After 15.00 hrs (IST) on 26-11-2014**

Contact Person: Shri K.Azhagiri, Sr.Manager (Project Contracts)

Mobile No:9989991271, FAX No: 0891-2518764

The Corrigendum No:1, detailed NIT & Tender documents are available in VSP's Website **www.vizagsteel.com** and Govt. website **www.tenders.gov.in**

-Sd-
DGM (Project Contracts) -I/c

**Note: The bidder should refer to RINL website regularly for any corrigendum.**

RINL VIGILANCE TOLL FREE NUMBER 1800 425 8878

RASHTRIYA ISPAT NIGAM LIMITED
VISAKHAPATNAM STEEL PLANT
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# NOTICE INVITING TENDER (NIT)

Open Tender Notice No.VSP-23 of 2014-15 Dated 09-10-2014

Sealed tenders in the prescribed form are invited from experienced contractors for the following work:

Name of the work : "Revamping and Upgradation of Vibrating Feeders in Flux Charging System at SMS-1"- (Specification No:VSP-SMS-1 UPGRADATION-000001-MMH-0001).

Last date & time of receipt of offers : Upto 15.00 hrs (IST) on **12-11-2014**

Date & time of opening of offers After 15.00 hrs (IST) on **12-11-2014**

Contact Person: Shri K.Azhagiri, Sr.Manager (Project Contracts)

Mobile No:9989991271, FAX No: 0891-2518764

The detailed NIT & Tender documents are available in VSP's Website **www.vizagsteel.com** and detailed NIT is also available in Govt. website **www.tenders.gov.in**

DGM (Project Contracts) -I/c

**Note: The bidder should refer to RINL website regularly for any corrigendum.**

RINL VIGILANCE TOLL FREE NUMBER 1800 425 8878

**RASHTRIYA ISPAT NIGAM LIMITED**
VISAKHAPATNAM STEEL PLANT
(A Govt. of India Enterprises)

**PROJECTS DIVISION**
CONTRACTS DEPARTMENT, PROJECT OFFICE, A-BLOCK, VISAKHAPATNAM-530 031
Grams: UBEAM Phones: (+91) 891 – 2518277 Fax: (+91) 891– 2518764
RINL VIGILANCE TOLL FREE NUMBER 1800 425 8878
E-mail Id: hod_projcont@vizagsteel.com, projcont@vizagsteel.com
**Web site: www.vizagsteel.com**

## DETAILED NOTICE INVITING TENDER (DNIT)

## OPEN TENDER NOTICE NO. VSP-23 of 2014-15 DATED 09-10-2014

Sealed tenders in the prescribed form are invited from experienced contractors for the following work:

1.0 Name of the work:

Revamping and Upgradation of Vibrating Feeders in Flux Charging System at SMS-1 (Specification No: VSP-SMS-1 UPGRADATION-000001-MMH-0001).

| Cost of Tender Document (Non-Refundable) | | Completion Period | Earnest Money Deposit |
|---|---|---|---|
| By collection in person/By downloading | By Post | | |
| ₹ 1800/- | ₹ 2000/- | **Six (6) months for Convertor-C (Unit-I)**<br>**Twelve (12) months for Convertor-A (Unit-II)**<br>**Sixteen (16) months for Convertor-B (Unit-III)** from the date of issue of LOA.<br>Further, Delivery shall be in staggered manner as indicated at clause no:8.1 of Technical Specification. | **₹ 3,75,000/-** |

2.0 Brief scope of work:

The Scope of Work includes Design, Engineering, Procurement, Manufacture, Supply, Storage, Subsequent Handling at site, dismantling of existing equipments, Erection & Commissioning of VVVF-controlled, unbalanced motor type vibro-feeders along with MCCs in 3 nos. of LD converters in SMS-1 of RINL, VSP as per Technical Specification No: VSP-SMS-1 UPGRADATION-000001-MMH-0001.

3.0 Cost of tender document:

Cost of Tender documents(s) shall be paid in the form of Demand Draft/Pay Order/Bankers Cheque obtained from any Nationalised or scheduled bank drawn in favour of Rashtriya Ispat Nigam Ltd., Visakhapatnam Steel Plant payable at Visakhapatnam. **No other mode of payment will be acceptable**.

**THE COST OF TENDER DOCUMENT(S) WILL NOT BE REFUNDED UNDER ANY CIRCUMSTANCES**.

4.0 Procurement of tender document:

The tender document can be procured/obtained -

i) By downloading from VSP web site **www.vizagsteel.com**

ii) By hand (i.e., collection in person)/ by post from the office of DGM (Project Contracts)-I/c, Project Office, A-Block, Room No. 9, Visakhapatnam Steel Plant, Visakhapatnam-530031 **on a written request on bidder's letter head and payment of tender cost as above**.

iii) Last date & time of receipt of requisitions from those who wish to collect tender document in person/post **up to 17.00hrs (IST) on 29-10-2014.**

iv) Last date & time for issue of tender documents is **up to 17.00hrs (IST) on 01-11-2014.**

5.0 Earnest Money Deposit (EMD):

**EMD** shall be paid in the form of **DD/PO/BC** obtained from any Nationalised or Scheduled Commercial Bank in India drawn in favour of Rashtriya Ispat Nigam Limited, Visakhapatnam Steel Plant, payable at Visakhapatnam. **No other mode of payment will be accepted.**

6.0 Pre-Qualification Criteria **(PQC)** for consideration of Tender:

(a) The tenderer should have executed a single work order of the following nature of value not less than ₹ **210** Lakhs during the last five years ending **30-09-14.**

"Design, Supply, Execution/Supervision of Erection Services for material Handling System involving VVVF controlled Motorized Vibrating Feeders including Testing and Commissioning"

(b) The tenderer should have an average annual financial turnover of ₹ **94.50** Lakhs during last three financial years (ie., from 2011-12 to 2013-14).

The following Attested (**signed with date and Business/Official seal**) copies of document in proof of the above shall be submitted along with the tender.

(i) Work order copies along with corresponding completion certificate for at least one such job in proof of 6.0(a).

(ii) Audited balance sheets, profit & loss account of the company for the years mentioned above in proof of 6.0(b).

6.1 Tenderer is required to unconditionally accept the "Integrity Pact" enclosed to the Tender document and shall submit the same duly signed along with his offer in **Envelope-I**. Offer of the tenderer received without Integrity Pact duly signed, shall not be considered.

7.0 Form of submission:

The Tenderers are requested to note that -

i) The offer shall be submitted in **three** sealed envelopes. These three sealed envelopes shall be kept in an **outer cover** (which shall also be sealed) superscribed with **Name of work, Tender notice number and due date and time of submission & opening of tender, Self address, Fax & Telephone numbers.**

ii) **First envelope** (to be superscribed as Envelope-I with name of the work, tender notice number, due date & time) shall contain:

a) Cost of the tender document (only in case the tender is downloaded from website).

b) Earnest Money Deposit (EMD) in the form of DD/PO/BC.

c) Duly signed Integrity Pact as per **Annexure-I**.

d) Bank Account details for e-payment, refund of EMD/SD etc. as per the format (Appendix-9 of VSP-TK-02 (Revised), Jan 2012).

e) **Three (03) sets** (1 original + 2 copies) each of filled-in copy of documents in support of Prequalification Criteria.

f) Other documents indicated at Clause no: 5.0, 6.0 & 15.0 of Instructions to the Tenderer (VSP-TK-02 (Revised), Jan 2012) in the tender document.

g) Tenderer shall submit a letter of undertaking indicating that the detailed break-up of the quoted price (total price) showing break-up of statutory levies like Excise duty and/or CVD & education cess thereon, CST, APVAT along with the break-up of amount and relevant percentages shall be submitted before the award of work or atleast within 30 days from the date of placement of LOA.

The authorized representative of the tenderer shall sign on all the copies of the documents submitted along with the tender document.

iii) The **Second envelope** (to be superscribed as "**Envelope-II – Technical & Commercial offer**" with name of the work, tender notice number **and due date and time of submission & opening of tender, Self address, Fax & Telephone numbers**) shall contain requisite number of copies

(a) Part-I: Technical offer **Ten (10) sets** ( 1 original + 9 copies).

- Refer technical specification and para 16.3 of Instructions to tenderer of Volume 1 of Part C of tender document (VSP-TK-02(Revised),Jan 2012).

(b) Part-II: Commercial offer **Ten (10) sets** ( 1 original + 9 copies).

- Refer para 16.4 of Instructions to tenderer Volume 1 of Part C of tender document (VSP-TK-02(Revised),Jan 2012).

(c) One (01) complete set of Tender document duly signed on each page.

**Note:** Signed copy of Blank Price Formats duly marked with "**XXXX"** in the respective places, as applicable, shall be submitted along with the technical offer.

All pages are to be duly signed by the Tenderer.

iv) **The Third envelope** (to be superscribed as **"Envelope-III – Price bid**" with name of the work, tender notice number and due date and time of submission & opening of tender, Self address, Fax & Telephone numbers) shall contain:

Price bid **in original only in VSP's prescribed format as per Appendix 15 of GCC (VSP-TK-02 (Revised), Jan 2012) in the tender document**.

**No terms & conditions shall be written in the price bid.**

All pages are to be duly signed by the Tenderer.

8.0 Date, time & place of submission:

Tenders will be received in the Office of DGM (Project Contracts)-I/c, Project Office, "A" Block, Room No.20, Visakhapatnam Steel Plant, Visakhapatnam 530 031 **up to 15.00 Hrs (IST) on 12-11-2014.**

9.0 Procedure for opening of tender:

In the presence of authorized representatives of the tenderers who may choose to be present,

i) **Envelope-I** will be opened immediately after the last date and time of receipt of tender for verification of cost of tender document, EMD, PQC requirements and other documents.

ii) **Envelope-II** (Techno–Commercial offer) will be opened thereafter on satisfactory fulfillment of requirements of Envelope-I or at a later date and time which shall be intimated.

iii) Time, date and venue of opening of **Envelope-III** (Price bid) of the tender will be intimated to those Tenderers whose offers are found technically and commercially acceptable.

10.0 The tender documents and other details can be downloaded from our web site **www.vizagsteel.com** consisting of following files:

(i) Detailed Notice Inviting tender (NIT) along with Annexure-I(Integrity Pact), which is the file itself.

(ii) Part-C

- Volume -I Letter of Submission of Tender, Instructions to the Tenderer, Articles of Agreement and General Conditions of Contract (VSP-TK-02 (Revised), Jan 2012).
- Volume-II Technical Specification No. VSP-SMS-1 UPGRADATION-000001-MMH-0001& Drawings
- Volume III General Specifications and List of Preferred Makes.

(iii) Part-D Performance Guarantee with Liquidated damages.

(iv) Penalties for non-achievement of milestones.

(v) Addendum to ITT & GCC (VSP-TK-02 (Revised), Jan 2012)

**(The tenderers are requested to quote their prices strictly in VSP's price format – as per Appendix 15 of GCC (VSP-TK-02 (Revised), Jan 2012).**

Tender document can also be downloaded from Govt. web site **www.tenders.gov.in**

The documents referred at (i) to (v) can be downloaded from VSP website www.vizagsteel.com → Tenders → Project Contracts → New users have to register themselves for viewing the Tender Document (Register Now) → Log In → Tenders → Project Contracts → View / Save = Complete Tender Document.

11.0 The documents placed in website along with this detailed Notice Inviting Tender forms the complete tender document. All the documents along with detailed NIT as placed in the web site are final. On verification, at any time, whether the Tenderer is successful or not, if any of the documents submitted by the Tenderer including the documents downloaded from our website/ issued are found tampered/altered/ incomplete, they are liable for actions like rejection of the tender, cancellation & termination of the contract, debarring etc., as per the rules of the company.

12.0 It will be presumed that the Tenderer have gone through the entire tender document available in web site which shall be binding on them.

13.0 The tenderer shall download the **"TENDER DOCUMENT"** available on the web site in totality and submit the same duly signed on each page in **Envelope-II**.

14.0 Successful Tenderer should be in a position to produce, after opening of the price bids, the Original Certificates in support of the attested copies of relevant documents submitted along with tender document. Failure to produce the original certificates at this stage in support of the attested copies of PF Registration/ experience / qualification / any other documents etc., submitted earlier would result in disqualification and forfeiture of EMD and also liable for debarring from participation in VSP tenders.

15.0 If it comes to the notice of VSP at any stage right from request for enlistment/tender document that any of the certificates/ documents submitted by applicant for enlistment or by bidders are found to be false / fake / doctored, the party will be debarred from participation in all VSP tenders for a period of 05(Five) years including termination of contract, if awarded. EMD/Security Deposit etc., if any will be forfeited. The contracting agency in such cases shall make good to VSP any loss or damage resulting from such termination. Contracts in operation any where in VSP will also be terminated with attendant fall outs like forfeiture of EMD/Security Deposit, if any, and recovery of risk and cost charges etc., Decision of VSP Management will be final and binding.

16.0 Tender documents will be issued to tenderers based on their request and on payment of tender cost or same can be downloaded from our web site by submitting the cost of tender along with their offer. However, RINL will not be responsible for any delay/loss/any web site related problems in downloading the tender documents etc.

17.0 RINL reserves the right to (a) Issue or Refuse tender documents without assigning any reason. (b) Split and award the work to more than one agency (c) Reject any or all the tenders or to accept any tender wholly or in part or drop the proposal of receiving tenders at any time without assigning any reason thereof without any liability to RINL and without being liable to refund the cost of tender documents thereupon.

18.0 RINL will not be responsible for any delay, loss or non-receipt of tender document or tender sent by Post/Courier etc.

19.0 RINL reserves the right to reject the offers of the tenderers whose performance is poor in awarded/ongoing works, if any.

20.0 Interest free advance shall not be considered.

21.0 Tenders submitted against the NIT/Tender shall not be returned in case the tender opening date is extended/postponed. Tenderers desirous to modify their offer/terms may submit their revised/supplementary offer(s) within the extended TOD, by clearly stating the extent of updation done to their original offer and the order of prevalence of revised offer vis-à-vis original offer. The employer reserves the right to open the original offer along with revised offer(s).

22.0 The tenderer shall furnish complete details of works executed, works in hand and details of plant and machinery in prescribed format and also give further details as sought during Techno-commercial discussions. Non-compliance of this condition may result in the tender getting disqualified.

23.0 VSP reserves the right to check the authenticity of the documents/certificates submitted, and/or verify performance of the tenderers in the works executed by them earlier from their clients. In case, the report of the client shows bad/poor/unsatisfactory performance rating, then, the offer of the tenderer is liable for rejection.

24.0 VSP shall not entertain any revised price/revision in price basing on the technical discussions unless VSP itself changes specifications/scope when compared to Tender Specifications/Scope, which calls for revision in the estimate.

25.0 Successful tenderer shall submit PF & ESI registration codes before commencement of Contract.

26.0 The tenderer shall submit Bank Account details as per the format duly signed along with his offer in **Envelope-I** for enabling RINL to make e-payment, refund EMD/SD etc.

27.0 Tenderer shall necessarily indicate **contact Fax number and valid and active E-mail address** in their offer. Communication given through the Fax numbers/E-mail IDs indicated shall be deemed as duly delivered to the tenderer.

28.0 VSP's reserves the right to open Original price bids, if felt necessary, in case the tenderer is allowed to submit revised price bids.

29.0 One representative shall represent one firm only for collection of tender document.

30.0 Tender papers will not be issued to parties upto a maximum period of two years from the date of such communication, who failed to execute the work awarded to them earlier and was terminated due to unsatisfactory performance or the work was withdrawn either fully or in part due to unsatisfactory performance. The decision of the Employer in this regard will be final and binding.

31.0 The current performance of the tenderer in VSP for works already awarded and is under execution shall also be taken into account while deciding to award further works in VSP and if the current performance is not satisfactory, VSP reserves the right to disqualify such tenderers.

32.0 VSP, after opening of tender/bid document, may seek, in writing, documents /clarifications which are necessary for evaluation of tender/bid document from the tenderers/bidders or issuing authority for confirmation , if felt necessary.

33.0 If a tenderer submits more than one tender, then all the tenders submitted by the said tenderer shall be rejected.

34.0 RINL can claim Cenvat credit on Excise duty & Service tax for the subject work except on Construction of a building or a civil structure or part thereof or laying of foundation or making of structures for support of Capital goods.

35.0 RINL is entitled for ITC of APVAT against input used for the subject work based on Tax invoices furnished by Supplier/Contractor.

36.0 Address and the Contact details of Nodal Officer, Integrity Pact Programme is as follows:

Sri S.K.Gupta, ED(MM),
Room No.429, 3rd Floor, A-Block
Administrative Building
Rashtriya Ispat Nigam Limited,
Visakhapatnam Steel Plant
Visakhapatnam – 530 031
Andhra Pradesh, INDIA

Mobile No.09866185257
Email:guptask@vizagsteel.com
Office FAX No.0891-2518753/2518756,
Ph.No.0891-2518683

Names of the Independent External Monitor (IEM) are as under:

(i) Shri V.Velayutham, Ex-DG (RD)&SS, D/o Road Transport & Highways.

(ii) Shri Venugopal K Nair, IPS (Retired)

Bio-data and contact details of above IEMs is available at RINL, Website, www.vizagsteel.com

37.0 At any time prior to the deadline for submission of the bids, the Project Contracts Dept may for any reason, modify the tender terms and conditions by way of an amendment. Such amendments will be notified on RINL's Website at regular intervals.

38.0 Tenderers may please note that any amendment/corrigendum to this tender notice will be notified/displayed in VSP's website www.vizagsteel.com.The tenderers should refer to VSP's website regularly for such corrigendums.

DGM (Project Contracts)-I/c

RINL VIGILANCE TOLL FREE NUMBER 1800 425 8878

**Annexure-I**

# INTEGRITY PACT

**Rashtriya Ispat Nigam Limited (RINL)** hereinafter referred to as **"The Principal",**

and

…… hereinafter referred to as **"The Bidder/Contractor"**

## Preamble

The Principal intends to award, under laid down organizational procedures, a contract for **"Revamping and Upgradation of Vibrating Feeders in Flux Charging System at SMS-1 (Specification No: VSP-SMS-1 UPGRADATION-000001-MMH-0001)".**The Principal values full compliance with all relevant laws of the land, rules, regulations, economic use of resources, and of fairness/transparency in its relations with its Bidder(s) and /or Contractor(s).

The Principal will nominate Independent External Monitor(IEM) by name, from the panel of IEMs, at the tender stage, for monitoring the tender process and the execution of the contract in order to ensure compliance with the Integrity Pact by all the parties concerned.

## Section 1 – Commitments of the Principal:

(1) The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-

(a) No employee of the Principal, personally or through family members, will in connection with the tender or the execution of a contract, demand/take a promise/accept for self or for third person, any material or non material benefit which the person is not legally entitled to.

(b) The Principal will, during the tender process treat all Bidders with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidders the same information and will not provide to any Bidder confidential/additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.

(c) The Principal will exclude from the process all known prejudiced persons.

(2) If the Principal obtains information on the conduct of any of its employees which is a criminal offence under the PC Act/applicable law, or if there be a substantive suspicion in this regard, the Principal will inform the Chief Vigilance Officer of RINL and in addition can initiate disciplinary action.

## Section 2 – Commitments of the Bidder(s)/Contractor(s):

(1) The Bidder/ Contractor commits to take all measures necessary to prevent corruption and commits to observe the following principles during his participation in the tender process/during the contract execution(in case of Bidder to whom the contract has been awarded).

(a) The Bidder/Contractor will not, directly or through any other person or firm, offer, promise or give to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain, in exchange, any advantage of any kind whatsoever during the tender process or during the execution of the contract or to vitiate the Principal's tender process or contract execution.

(b) The Bidder/Contractor will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process or to vitiate the Principal's tender process or execution of the contract.

(c) The Bidder/Contractor will not commit any offence under the PC Act/ Applicable law, like paying any bribes or giving illegal benefit to anyone including employees of RINL, to gain undue advantage in dealing with RINL or for any other reason etc. Further, the Bidder/Contractor will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship regarding plans, technical proposals and business details including information contained or transmitted electronically.

(d) The Bidder/Contractor of foreign origin shall disclose the name and address of their Agent(s)/representative(s) in India, if any. Similarly the Bidder/Contractor of Indian Nationality shall furnish the name and address of the foreign supplier/ contract Agency, if any. Further details, as mentioned in the Guidelines on Indian Agents of Foreign "Suppliers/contract agencies", shall be disclosed by the Bidder/Contractor, wherever applicable. Further, as mentioned in the Guidelines, all the payments made to the Indian agent(s)/ representative(s) have to be in Indian Rupees only.

**Copy of the Guidelines on Indian Agents of Foreign "Suppliers/contract agencies" is enclosed.**

(e) The Bidder/ Contractor will, when presenting his bid, disclose any and all payments he has made or committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.

(2) The Bidder/Contractor will not instigate third persons to commit offences outlined above or be an accessory to such offences.

**Section 3 – Disqualification from tender process and exclusion from future contracts:**

(1) A transgression is considered to have occurred, if the Principal after due consideration of the available evidence, concludes that a reasonable doubt is possible.

(2) If the Bidder/Contractor, before award of contract or after award of contract has committed a transgression through a violation of Section 2 above or in any other form such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder from the tender process or to terminate the contract, if already awarded, for that reason, without prejudice to other remedies available to the Principal under the relevant GCC of the tender/contract.

(3) If the Bidder/Contractor has committed a transgression through a violation of any of the terms under Section 2 above or in any other form such as to put his reliability or credibility into question, the Principal is entitled also to exclude the Bidder/Contractor from future tenders/Contract award processes. The imposition and duration of the exclusion will be determined by the Principal keeping in view the severity of the transgression. The severity will be determined by the circumstances of the case, in particular the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder /Contractor and the amount of the damage.

(4) If it is observed after payment of final bill but before the expiry of validity of Integrity pact that the Contractor has committed a transgression through a violation of any of the terms under Section 2 above during the execution of contract, the Principal is entitled to exclude the Contractor from future tenders/Contract award processes.

(5) The exclusion will be imposed for a Period not less than six (6) months and, up to a maximum period of three (3) years.

(6) If the Bidder / Contractor can prove that he has restored/recouped the damage to the Principal caused by him and has installed a suitable corruption prevention system, the Principal may revoke the exclusion before the expiry of the period of such exclusion.

**Section 4 – Compensation for Damages:**

(1) If the Principal has disqualified the bidder from the tender process prior to the award in accordance with Section 3 above, the Earnest Money Deposit (EMD)/Bid security furnished, if any, along with the offer as per the terms of the Invitation to Tender (ITT) shall be forfeited. This is apart from the exclusion of the Bidder from future tenders as may be imposed by the Principal, as brought out at Section 3 above.

(2) If the Principal has terminated the Contract in accordance with Section 3 above, or if the Principal is entitled to terminate the Contract in accordance with Section 3 above, the Security Deposit/performance bank guarantee furnished by the Contractor, if any, as per the terms of the ITT/Contract shall be forfeited without prejudicing the rights and remedies available to the Principal under the relevant General conditions of contract. This is apart from the exclusion of the Bidder from future tenders as may be imposed by the Principal, as brought out at Section 3 above.

**Section 5 – Previous transgressions:**

(1) The Bidder declares that, to the best of his knowledge, no previous transgression occurred in the last five (05) years with any Company or Organization or Institution in any country or with any Government in any country conforming to the anti- corruption approach that could justify his exclusion from the tender process.

(2) If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process. The contract, if already awarded, can be terminated for such reason.

**Section 6 – Equal treatment of all Bidders / Contractors / Subcontractors:**

(1) The Bidder(s)/Contractor(s) undertake(s) to demand from all subcontractors, he desires to appoint, a commitment in conformity with this Integrity Pact, and to submit it to the Principal at the time of seeking permission for such subcontracting.

(2) The Principal will enter into agreements with identical conditions as this one with all Bidders/ Contractors.

(3) The Principal will disqualify from the tender process all bidders who do not sign this Pact or violate its provisions.

**Section 7 – Criminal charges against violating Bidder(s)/Contractor(s)/ subcontractor(s):**

If the Principal obtains knowledge of conduct of a Bidder, Contractor, Sub-contractor or of any employee or a representative or an associate of a Bidder/ Contractor/ Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the same to the CVO of RINL.

**Section 8 – Independent External Monitor(s)(IEM(s)):**

(1) The Principal appoints competent and credible Independent External Monitor with the approval of Central Vigilance Commission. The IEM reviews independently, the cases referred to him or written complaints with all details received directly by him to assess whether and to what extent the parties concerned complied with the obligations under this Integrity Pact,

(2) In case of complaint/representations on compliance of the provisions of the Integrity Pact by any person/agency, the complaint/representation can be lodged by the aggrieved party with the Nodal Officer for IP of RINL or directly with the IEM. The Nodal Officer shall refer the complaint /representation so received by him to the IEM for his examination. Similarly, RINL in case of any doubt regarding compliance by any or all the bidders can lodge its complaint / make a reference to IEM through Nodal Officer. For ensuring the desired transparency and objectivity in dealing with the complaints arising out of the tendering process, the matter should be examined by the full panel of

IEMs who would look into the records, conduct an investigation and submit their joint recommendations to the Management.

(3) The IEM is not subject to instructions by both the parties and performs his functions neutrally/independently. The IEM will submit report to the CMD, RINL.

(4) The Bidder(s)/Contractors(s) accepts that the IEM has the right to access without restriction, to all tender/contract documentation of the Principal including that provided by the Bidder/Contractor. The Bidder/ Contractor will also grant the IEM, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his tender/ contract documentation. The same is applicable to unrestricted and unconditional access to tenders / contract documentation of Subcontractors also. The IEM is under contractual obligation to treat the information and documents of the Bidder(s)/Contractor(s)/Subcontractor(s) with confidentiality.

(5) IEM will have the right to attend any meeting between RINL and Counterparties in respect of the cases falling under the purview of IP.

(6) As soon as the IEM notices, or believes to notice, a violation of this Pact, he will inform the Principal and request the Principal to discontinue or take corrective action or to take other relevant action. The IEM can, in this regard, submit non binding recommendations. Beyond this, the IEM has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.

(7) The IEM will submit a written report to the CMD-RINL within four (04) to six (06) weeks from the date of reference or intimation to him by the Principal/ receipt of the complaint and, should the occasion arise, submit proposals for corrective actions for the violations or the breaches of the provisions of the agreement noticed by the IEM.

(8) IEM may also submit a report directly to the CVO of RINL and the Central Vigilance Commission, in case of suspicion of serious irregularities attracting provisions of the PC Act/ applicable Law.

(9) Expenses of IEM shall be borne by RINL/VSP as per terms of appointment of IEMs.

(10) The word 'Monitor' means Independent External Monitor and would include both singular and plural.

**Section 9 – Duration of the Integrity Pact:**

(1) This Pact comes into force upon signing by both the Principal and the Bidder/Contractor. It expires for the Contractor twelve (12) months after the last payment under the contract, and for all unsuccessful Bidders, six (06) months after the contract has been awarded and accordingly for the Principal after the expiry of respective periods stated above.

(2) If any claim is made/ lodged during the valid period of the IP, the same shall be binding and continue to be valid even after the lapse of this Pact as specified above, unless it is discharged/determined by CMD of RINL.

**Section 10 – Other provisions:**

(1) This Pact is subject to Indian Law.Place of performance and jurisdiction is the Registered Office of the Principal, i.e. Visakhapatnam, State of Andhra Pradesh, India.

(2) Changes and supplements as well as termination notices need to be made in writing. Side agreements to this pact have not been made.

(3) If the Contractor is a partnership firm/ Consortium, this Pact must be signed by all partners/ Consortium members, or their Authorized Representative(s) by duly furnishing Authorization to sign Integrity Pact.

(4) Should one or several provisions of this Pact turnout to be invalid, the remaining part of the Pact remain valid. In this case, the parties will strive to come to an agreement with regard to their original intentions.

(5) Wherever he or his is indicated in the above sections, the same may be read as he/she or his/her, as the case may be. Similarly, wherever Counterparty or Bidder or Contractor is mentioned, the same would include both singular and plural.

| | |
|---|---|
| _____ | _____ |
| (For & On behalf of the Principal) | (For & On behalf of Bidder/ Contractor) |
| (Office Seal) | (Office Seal) |
| Place ---------- | |
| Date ---------- | |

| | |
|---|---|
| Witness 1: | Witness 1: |
| (Name & Address) | (Name & Address) |
| _____ | _____ |
| Witness 2: | Witness 2: |
| (Name & Address) | (Name & Address) |
| _____ | _____ |

## GUIDELINES FOR INDIAN AGENTS OF FOREIGN "SUPPLIERS/CONTRACT AGENCIES"

1.0 There shall be compulsory registration of Indian Agents of foreign suppliers/ contract Agencies with RINL in respect of all Global (Open) Tenders and Limited Tenders. An agent who is not registered with RINL shall apply for registration in the prescribed Application Form.

1.1 Registered agent needs to submit before the placement of order by RINL, an Original certificate issued by his foreign supplier/ contract Agency (or an authenticated Photostat copy of the above certificate duly attested by a Notary Public) confirming the agency agreement and giving the status being enjoyed by the agent alongwith the details of the commission/ remuneration/ salary/ retainer being paid by them to the agent(s).

1.2 Wherever the Indian representative has communicated on behalf of their foreign supplier/contract Agency and/or the foreign supplier/contract Agency have stated that they are not paying any commission to their Indian agent(s) but paying salary or retainer, a written declaration to this effect given by the foreign supplier/contract Agency should be submitted before finalizing the contract.

**2.0 DISCLOSURE OF PARTICULARS OF AGENT(S)/REPRESENTATIVE(S) IN INDIA, IF ANY:**

2.1 Bidders of Foreign nationality shall furnish the following details in their quotation/bid:

2.1.1 The name and address of their agent(s)/representative(s) in India, if any, and the extent of authorization and authority given to them to commit them. In case the agent(s)/representative(s) is a foreign Company, it shall be confirmed whether it is a really substantial Company and details of the company shall be furnished.

2.1.2 The amount of commission/remuneration included in the quoted price(s) for such agent(s)/representative(s) in India.

2.1.3 Confirmation of the Bidder that the commission/remuneration if any, payable to his agent(s)/representative(s) in India, may be paid by RINL in Indian Rupees only.

**3.0 DISCLOSURE BY INDIAN AGENT(S) OF PARTICULARS OF THEIR FOREIGNSUPPLIER/CONTRACT AGENCY AND FURNISHING OF REQUISITE INFORMATION:**

3.1 Bidders of Indian Nationality shall furnish the following details/certificates in/alongwith their offers:

3.1.1 The name and address of foreign supplier/contract agency indicating their nationality as well as their status, i.e., manufacturer or agent of manufacturer holding the Letter of Authority.

3.1.2 Specific Authorization letter by the foreign supplier/contract agency authorizing the agent to make an offer in India in response to tender either directly or through their agent(s)/representative(s).

3.1. 3 The amount of commission/remuneration included for bidder in the price (s) quoted.

3.1.4 Confirmation of the foreign supplier/contract Agency of the Bidder, that the commission/remuneration, if any, reserved for the Bidder in the quoted price (s), may be paid by RINL in India in equivalent Indian Rupees.

4.0 In either case, in the event of materialization of contract, the terms of payment will provide for payment of the commission/remuneration payable, if any, to the agent(s)/representative(s) in India in Indian Rupees, as per terms of the contract.

4.1 Failure to furnish correct information in detail, as called for in para 2.0 and/or 3.0 above will render the bid concerned liable for rejection or in the event of materialization of contract; the same is liable for termination by RINL. Besides this, other actions like banning business dealings with RINL, payment of a named sum etc., may also follow.

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